



Electronic Filing System (EFS) Data
Electronic Patent Application Submission
USPTO Use Only

EFS ID: 54405

Application ID: 10699462



Title of Invention:

METHOD AND APPARATUS FOR
PROVIDING SUPPLEMENTARY
PRODUCT SALES TO A
CUSTOMER AT A CUSTOMER
TERMINAL

First Named Inventor: Jay Walker

Domestic/Foreign Application: Domestic Application

Filing Date: 2003-10-31

Effective Receipt Date: 2004-01-28

Submission Type: Information Disclosure
Statement

Filing Type:

Confirmation number: 4855

Attorney Docket Number: 97-007-C1

Total Fees Authorized:

Digital Certificate Holder: cn=Michael David Downs,ou=Registered Attorneys,ou=Patent and
Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US
Certificate Message Digest: af24cf3563f5813bfa7149b62743bde8f9e26846



TRANSMITTAL

Electronic Version v1.1

Stylesheet Version v1.1.0

| Title of Invention | METHOD AND APPARATUS FOR PROVIDING SUPPLEMENTARY PRODUCT SALES TO A CUSTOMER AT A CUSTOMER TERMINAL | | | | | | | | | |
|--|---|----------------|---------------------------|-------------|----------------|--|-------|------------|--|------------|
| Application Number: 10/699462  | | | | | | | | | | |
| Date: 2003-10-31 | | | | | | | | | | |
| First Named Applicant: Jay S. Walker | | | | | | | | | | |
| Confirmation Number: 4855 | | | | | | | | | | |
| Attorney Docket Number: 97-007-C1 | | | | | | | | | | |
| <p>I hereby certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.</p> <p>I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.</p> | | | | | | | | | | |
| <table border="1"><thead><tr><th>Submitted by:</th><th>Elec. Sign.</th><th>Sign. Capacity</th></tr></thead><tbody><tr><td>Dean P. Alderucci Registered Number: 40,484</td><td>/DPA/</td><td>Attorney</td></tr></tbody></table> | | | Submitted by: | Elec. Sign. | Sign. Capacity | Dean P. Alderucci Registered Number: 40,484 | /DPA/ | Attorney | | |
| Submitted by: | Elec. Sign. | Sign. Capacity | | | | | | | | |
| Dean P. Alderucci Registered Number: 40,484 | /DPA/ | Attorney | | | | | | | | |
| <table><tr><td>Documents being submitted</td><td>Files</td></tr><tr><td>us-ids</td><td>97-007-C1SIDS01.28.04-usidst.xml</td></tr><tr><td></td><td>us-ids.dtd</td></tr><tr><td></td><td>us-ids.xsl</td></tr></table> | | | Documents being submitted | Files | us-ids | 97-007-C1SIDS01.28.04-usidst.xml | | us-ids.dtd | | us-ids.xsl |
| Documents being submitted | Files | | | | | | | | | |
| us-ids | 97-007-C1SIDS01.28.04-usidst.xml | | | | | | | | | |
| | us-ids.dtd | | | | | | | | | |
| | us-ids.xsl | | | | | | | | | |
| Comments | | | | | | | | | | |

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose. The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner. ☒ No fee is believed to be due under 37 C.F.R. Section 1.17(p) for this Information Disclosure Statement since it is being filed in compliance with: ☐ 37 C.F.R. Section 1.97(b)(1), within three months of the filing date of the above-identified application. ☐ 37 C.F.R. Section 1.97(b)(2), within three months of the date of entry into the national stage as set forth in Section 1.491. ☒ 37 C.F.R. Section 1.97(b)(3), before the mailing date of a first Office Action on the merits. ☐ 37 C.F.R. Section 1.97(b)(4), before the mailing of a first Office action after the filing of a request for continued examination under Section 1.114. ☐ On the same day as a continuation of a previously paid-for Information Disclosure Statement of the same patent application.



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

| Title of Invention | METHOD AND APPARATUS FOR PROVIDING SUPPLEMENTARY PRODUCT SALES TO A CUSTOMER AT A CUSTOMER TERMINAL | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|--|---|------------|------------|--------------|------|-------|----------|------|----------|------------|------|----------|------|-------|----------|--|---|---------|------------|--------------|--|--|--|--|---|---------|------------|--------------|--|--|--|--|--|--|--|--|--|--|--|
| <p>Application Number: 10/699462</p> <p>Confirmation Number: 4855</p> <p>First Named Applicant: Jay Walker</p> <p>Attorney Docket Number: 97-007-C1</p> <p>Examiner: Not Yet Assigned</p> <p>Search string: (4441160 or 5857175 or 6024288 or 6292786 or 6307958 or 6321210 or 6016504 or 6115641 or 6292786 or 3573747 or 4703423 or 4723212 or 4825045 or 4902880 or 4908761 or 4910672 or 4948174 or 4973952 or 5121945 or 5128862 or 5132914 or 5172328 or 5173851 or 5177342 or 5191525 or 5192854 or 5201010 or 5216595 or 5231569 or 5243515 or 5245533 or 5256863 or 5262941 or 5283731 or 5287268 or 5297031 or 5302811 or 5309355 or 5326959 or 5344144 or 5353218 or 5353219 or 5367450 or 5380991 or Re34915 or 5420606 or 5458284 or 5459306 or 5481094 or 5504475 or 5510979 or 5513102 or 5564546 or 5572653 or 5581064 or 5592375 or 5592376 or 5602377 or 5611052 or 5612868 or 5615269 or 5620079 or 5621201 or 5621640 or 5632010 or 5664115 or 5665953 or 5687322 or 5724886 or 5761650 or 5832457 or 5845259 or 5890718 or 5999914 or 6006207 or 6070147 or 6088682 or 6112191 or 6119099 or 5274547 or 20010013011 or 20010014868).pn.</p> <p>US Patent Documents</p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td></td><td>1</td><td>4441160</td><td>1984-04-03</td><td>Azcua et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>2</td><td>5857175</td><td>1999-01-05</td><td>Day et al. .</td><td></td><td></td><td></td></tr><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></tbody></table> | | | | | | | | init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass | | 1 | 4441160 | 1984-04-03 | Azcua et al. | | | | | 2 | 5857175 | 1999-01-05 | Day et al. . | | | | | | | | | | | |
| init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 1 | 4441160 | 1984-04-03 | Azcua et al. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 2 | 5857175 | 1999-01-05 | Day et al. . | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

| | | | | |
|--|----|---------|------------|-------------------|
| | 3 | 6024288 | 2000-02-15 | Gottlich et al. |
| | 4 | 6292786 | 2001-09-18 | Deaton et al. |
| | 5 | 6307958 | 2001-10-23 | Deaton et al. |
| | 6 | 6321210 | 2000-11-20 | O'Brien et al. |
| | 7 | 6016504 | 2000-01-18 | Arnold et al. |
| | 8 | 6115641 | 2000-09-05 | Brown et al. |
| | 9 | 6292786 | 2001-09-18 | Deaton et al. |
| | 10 | 3573747 | 1971-04-06 | Adams et al. |
| | 11 | 4703423 | 1987-10-27 | Bado et al. |
| | 12 | 4723212 | 1988-02-02 | Mindrum et al. |
| | 13 | 4825045 | 1989-04-25 | Humble |
| | 14 | 4902880 | 1990-02-20 | Garczynski et al. |
| | 15 | 4908761 | 1990-03-13 | Tai |
| | 16 | 4910672 | 1990-03-20 | Off et al. |
| | 17 | 4948174 | 1990-08-14 | Thomson et al. |
| | 18 | 4973952 | 1990-11-27 | Malec et al. |
| | 19 | 5121945 | 1992-06-16 | Thomson et al. |
| | 20 | 5128862 | 1992-07-07 | Mueller |
| | 21 | 5132914 | 1992-07-21 | Cahlander et al. |
| | 22 | 5172328 | 1992-12-15 | Cahlander et al. |
| | 23 | 5173851 | 1992-12-22 | Off et al. |
| | 24 | 5177342 | 1993-01-05 | Adams |
| | 25 | 5191525 | 1993-03-02 | LeBrun et al. |
| | 26 | 5192854 | 1993-03-09 | Counts |
| | 27 | 5201010 | 1993-04-06 | Deaton et al. |
| | 28 | 5216595 | 1993-06-01 | Protheroe |
| | 29 | 5231569 | 1993-07-27 | Myatt et al. |
| | 30 | 5243515 | 1993-09-07 | Lee |
| | 31 | 5245533 | 1993-09-14 | Marshall |
| | 32 | 5256863 | 1993-10-26 | Ferguson et al. |
| | 33 | 5262941 | 1993-11-16 | Saladin et al. |
| | 34 | 5283731 | 1994-02-01 | Lalonde et al. |
| | 35 | 5287268 | 1994-02-15 | McCarthy |
| | 36 | 5297031 | 1994-03-22 | Guterman et al. |
| | 37 | 5302811 | 1994-04-12 | Fukatsu |
| | 38 | 5309355 | 1994-05-03 | Lockwood |
| | | | | |

| | | | | |
|--|----|---------|------------|--------------------|
| | 39 | 5326959 | 1994-07-05 | Perazza |
| | 40 | 5344144 | 1994-09-06 | Canon |
| | 41 | 5353218 | 1994-10-04 | De Lapa et al. |
| | 42 | 5353219 | 1994-10-04 | Mueller et al. |
| | 43 | 5367450 | 1994-11-22 | Pintsov |
| | 44 | 5380991 | 1995-01-10 | Valencia et al. |
| | 45 | Re34915 | 1995-04-25 | Nichtberger et al. |
| | 46 | 5420606 | 1995-05-30 | Begum et al. |
| | 47 | 5458284 | 1995-10-17 | Haan et al. |
| | 48 | 5459306 | 1995-10-17 | Stein et al. |
| | 49 | 5481094 | 1996-01-02 | Suda |
| | 50 | 5504475 | 1996-04-02 | Houdou et al. |
| | 51 | 5510979 | 1996-04-23 | Moderi et al. |
| | 52 | 5513102 | 1996-04-30 | Auriemma |
| | 53 | 5564546 | 1996-10-15 | Molbak et al. |
| | 54 | 5572653 | 1996-11-05 | DeTemple et al. |
| | 55 | 5581064 | 1996-12-03 | Riley et al. |
| | 56 | 5592375 | 1997-01-07 | Salmon et al. |
| | 57 | 5592376 | 1997-01-07 | Hodroff |
| | 58 | 5602377 | 1997-02-11 | Beller et al. |
| | 59 | 5611052 | 1997-03-11 | Dykstra et al. |
| | 60 | 5612868 | 1997-03-18 | Off et al. |
| | 61 | 5615269 | 1997-03-25 | Micali |
| | 62 | 5620079 | 1997-04-15 | Molbak |
| | 63 | 5621201 | 1997-04-15 | Langhans et al. |
| | 64 | 5621640 | 1997-04-15 | Burke |
| | 65 | 5632010 | 1997-05-20 | Briechele et al. |
| | 66 | 5664115 | 1997-09-02 | Fraser |
| | 67 | 5665953 | 1997-09-09 | Mazzamuto et al. |
| | 68 | 5687322 | 1997-11-11 | Deaton et al. |
| | 69 | 5724886 | 1998-03-10 | Ewald et al. |
| | 70 | 5761650 | 1998-06-02 | Munsil et al. |
| | 71 | 5832457 | 1998-11-03 | O'Brien et al. |
| | 72 | 5845259 | 1998-12-01 | West et al. |
| | 73 | 5890718 | 1999-04-06 | Byon |
| | 74 | 5999914 | 1999-12-07 | Blinn et al. |
| | | | | |

| | | | | |
|--|----|---------|------------|---------------|
| | 75 | 6006207 | 1999-12-21 | Mumick et al. |
| | 76 | 6070147 | 2000-05-30 | Harms et al. |
| | 77 | 6088682 | 2000-07-11 | Burke |
| | 78 | 6112191 | 2000-08-29 | Burke |
| | 79 | 6119099 | 2000-09-12 | Walker et al. |
| | 80 | 5274547 | 1993-12-28 | Zoffel et al. |

US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

| init | Cite.No. | Pub. No. | Date | Applicant | Kind | Class | Subclass |
|------|----------|-------------|------------|-------------|------|-------|----------|
| | 1 | 20010013011 | 2001-08-09 | Day et al. | | | |
| | 2 | 20010014868 | 2001-08-16 | Herz et al. | | | |

Signature

| | |
|---------------|------|
| Examiner Name | Date |
| | |